

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



ATTY DOCKET NO.: 9144-5

DATE: April 13, 2000

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

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JAN 23 2001

Sir:

**OFFICE OF PETITIONS**

Transmitted herewith for filing under 37 CFR 1.53(b) is:

a patent application  
 a Continuation     a Divisional     a Continuation-in-Part (CIP)  
of prior application no.: ; filed  
 A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Michael Brader-Araje, Durham, North Carolina; Dov Cohn, Raleigh, North Carolina;  
Mark Jauquet, Amherst, New York; Richard Racz, Durham, North Carolina; and  
John L. Root, III, Raleigh, North Carolina,

Entitled: **SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS THAT  
FACILITATE PARTICIPATION IN ONLINE AUCTIONS VIA AN INTERMEDIARY  
WEB SITE**

Enclosed are:

1.  Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2.  60 pages of Specification (including 53 claims)
3.  12 sheets of Drawings (35 USC 113)
4.  Oath or Declaration
  - a.  newly executed (*original or copy*)
  - b.  copy from prior application (37 CFR 1.63(d)) (*for continuation/divisional*) [Note Box 5 Below]
  - c.  **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5.  Incorporation By Reference (*useable if box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (*Appendix*)
7.  Assignment papers (*cover sheet(s) and document(s)*)
8.  Small Entity Statement(s)
9.  Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited
10.  Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11.  English Translation Document
12.  Certified Copy of      Application No. ; Filed

13.  Sequence Listing/ Sequence Listing Diskette  
 a.  computer readable copy  
 b.  paper copy  
 c.  statement in support

14.  An Associate Power of Attorney

15.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

16.  Other: Application filed under 37 CFR 1.41(c)

The fee has been calculated as shown below:

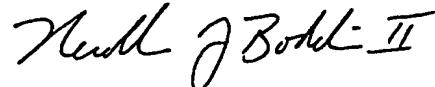
|   | Column 1<br>No. Filed | Column 2<br>No. Extra | Small Entity<br>Rate | Large Entity<br>Rate |
|---|-----------------------|-----------------------|----------------------|----------------------|
| <b>BASIC FEE</b>  |                       |                       | \$345.00             | \$690.00             |
| <b>TOTAL CLAIMS</b>   | <b>53 - 20 =</b>      | <b>33</b>             | x 09 = \$            | x 18 = \$            |
| <b>INDEP CLAIMS</b>   | <b>15 - 3 =</b>       | <b>12</b>             | x 39 = \$            | x 78 = \$            |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented              |                       |                       | + 130 = \$           | + 260 = \$           |
| <i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i> |                       |                       | Total \$             | Total \$             |

A check in the amount of \$ to cover the filing fee is enclosed.

A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.  
 Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Needham J. Boddie, II  
 Registration No. 40,519

Correspondence Address:

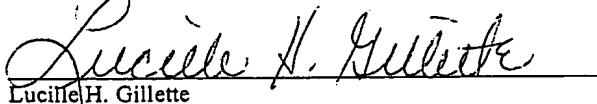
USPTO Customer Number: 20792  
 Myers Bigel Sibley & Sajovec  
 Post Office Box 37428  
 Raleigh, NC 27627  
 Tel (919) 854-1400  
 Fax (919) 854-1401

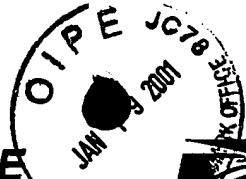
CERTIFICATE OF EXPRESS MAILING

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Date of Deposit: April 13, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

  
 Lucille H. Gillette



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| Date In<br><br>Mo. Day Year  | <input type="checkbox"/> 12 Noon <input type="checkbox"/> 8 PM                   | Postage<br>\$  | Time<br>Employee Signature  |
| Time In<br><br><input type="checkbox"/> AM <input type="checkbox"/> PM   | Military<br><input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day    | Return Receipt Fee   | Delivery Attempt<br>Mo. Day <input type="checkbox"/> AM <input type="checkbox"/> PM |
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| <b>MYERS BIGEL SIBLEY &amp; SAJOVEC</b><br>PO BOX 37428<br><b>RALEIGH</b> NC 27627-7428<br><br><b>9144-5 NJB/lhg</b> |  | <b>BOX PATENT APPLICATION</b><br><b>Assistant Commissioner for Patents</b><br><b>Washington, DC 20231</b>  |   |

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Box Patent Applications  
Assistant Commissioner for Patents  
Washington, DC 20231

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Date: 4-13-00  
Doc. No. 9144-5  
Serial No. -  
Inventor: Brian A. S.

**Sir:** Kindly acknowledge receipt of the accompanying items listed by placing your receiving stamp hereon and return mailing.

**Application Transmittal and:**

Check \$ \_\_\_\_\_  
 IDS & PTO-1449 & \_\_\_\_\_ refs.  
 Amendment & Amend. Transmittal  
 Preliminary Amendment  
 Issue Fee JC504 U. S. PTO  
 Brief 09/549370  
 Appeal  
 Submittal of Prior Art

Exp. Mail       1st Class Mail       04  
 Other: Application Filed-Lender 37CFR 1.41(c)

Respectfully submitted,  
MYERS BIGEL SIBLEY & SAJOVEC, P.A.  
Attorneys for Applicant



## Pickup Service Statement – Express Mail, Priority Mail, or Parcel Post

1. Attach Meter Strip or Stamps Here

2. Customer Name and Address (No., Street, Suite No., City, and State)  
MYERS SIGEL SISTER & SAJUVEC  
111 CORNING RD STE 250  
CARY NC 27511-9238

ZIP + 4 2 7 5 1 1 9 2 3 8

3.

|               |          |
|---------------|----------|
| Express Mail  | Quantity |
| Priority Mail | Quantity |
| Parcel Post   | Quantity |

### 4. Custom Design Agreement

CDA No. \_\_\_\_\_

### 5. Method of Payment

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Corporate Account  
No. XZ76081

Federal Agency Account  
No. \_\_\_\_\_

Meter Strip or Stamps  
(Apply fee in Item 1 above)

### 6. Express Mail Label Numbers

| Item No. | Express Mail Label Number | Item No. | Express Mail Label Number | Item No. | Express Mail Label Number |
|----------|---------------------------|----------|---------------------------|----------|---------------------------|
| 1        | EL533613185US             | 6        | EL533609994US             | 11       |                           |
| 2        | EL533613177US             | 7        |                           | 12       |                           |
| 3        | EL533612137US             | 8        |                           | 13       |                           |
| 4        | EL533613163US             | 9        |                           | 14       |                           |
| 5        | EL533613132US             | 10       |                           | 15       |                           |

7. Customer Signature

*[Signature]* 4/13/00

8a. USPS Signature

8b. Date of Pickup

8c. Time of Pickup